- N. D. L.B.

Lucent TechnologiesBell Labs innovations



US PATENT & TRADEMARK OFFICE

101 Crawfords Corner Road Room 3J-220 Holmdel, NJ 07733-3030 USA

Phone 732 949 9557 Fax 732 949 6410 cookp@lucest.com

December 27, 2005

Re: Refund Request

Att: Latrice Sims

My name is Patty Cook and I work for Lucent Technologies in the Intellectual Property Group located in Holmdel, NJ.

Each month I reconcile the PTO statement and make sure that it gets replenished. On the April 2005 Statement I noticed that there was a charge that should not have hit our account. The first is for 09990965 Liu 4-4-8 in the amount of 600.00. This charge hit on April 15 and the code is 1201. I did request back up from the PTO and they sent me the back up that they had which was a "No Additional Fee Required" for this patent number.

I will need to have the credit to go to Account 13325, Lucent Technologies. Total credit should be \$600. I have attached the April statement and have underlined the charge that is in question and have attached the "No Additional Fee Required". We have gone back to the file wrappers and nothing in the folders warrant the charge.

If you have any questions or need additional information please feel free to contact me.

Patty Cook

Lucent Technologies

(732) 949 - 9557 Phone

(732) 949 - 6410 Fax

cookp@lucent.com

PAGE 3/20 . RCVD AT 13/27/2005 10:37:30 AM [Eastern Standard Time] . 8VR:USPTO-EFXRE-6/25 . DM8:27/36500 . CSID:/329496410 . DURATION (mm-ss):07-00 03/89/05 HOLHOEL IP LAW + USPTO 4108-72 002 7:3:13 in the United States US PATEIT & THADELLYIK OFFICE PATENT AND TRADEMARK OFFICE PATENT APPLICATION Xiang tiju Xing Vini Chuntiyi Xu CASE 448 Senartio. 09/990965 Group Art Unit 2633 Fllod Navember 21, 2001 David J. Lee Examples Long Haul Optical Communication System Title COMMISSIONER FOR PATENTS P.O. BOX 1450 ALEXINORIA, VA 22313-1450 SIR Enclosized is an amendment in the above-identified application. NO ADDITIONAL FEE REQUIRED th the eyent of non-payment or improper payment of a required fee, the Commissioner is suthorised to charge or to credit Deposit Assount No. 12-5325 as required to correct the error. Devid A. \$4550, Reg. No. 43064 732-849-8569 Date: Dockes Administrator (Room 3J-219) Lucend Technologies Inc. 10f Originals Comer Road Holman, NJ 01733-3020

PT 16 (12:01)

CE 27) · RCVD AT JUXOUS †(ASAT AM PASIAM STANDARD TIME) · STRUSPTO-EFXRF-VI · DISS-5172006 · CED:7328400102 · DURATION (DUR-03)-02-34

BEST AVAILABLE COPY

වමය EIT.ON

7329496410 + +915712736500

55:40

12/27/2005



205 PSS 07 CH 3: "9
US PATERUL! TO JUDICAN



Deposit Account Statement

Requested Statement Month:

April 2005

Deposit Account Number:

122325

Name:

LUCENT TECHNOLOGIES INC.

Attention:

DOCKECT ADMINISTRATOR

Address:

101 CRAWFORDS CORNER ROAD

City:

HOLMDEL

State:

NJ

Zip:

07733

Country:

UNITED STATES OF AMERICA

DATE SEQ POSTING REF TXT		FEE CODE	AMT	BAL
04/01 18 10769713	PLATZMAN 11-4	1201	\$200.00	\$60,662.36
04/01 40 11093512	! 17-9	1011	\$300.00	\$60,362.36
04/01 41 11093512	! 17-9	1111	\$500.00	\$59,862.36
04/01 42 11093512	! 1 7-9	1311	\$200.00	\$59,662.36
04/01 43 11093512	17-9	1201	\$200.00	\$59,462.36
04/01 44 11093512	: 17 -9	1202	\$450.00	\$59,012.36
04/01 304 11093378	•	1011	\$300.00	\$58,712.36
04/01 305 11093378	I	1111	\$500.00	\$58,212.36
04/01 306 11093378	I	1311	\$200.00	\$58,012.36
04/04 13 10056127	B.H.CHEN14-8	1251	\$120.00	\$57,892.36
04/04 14 10056127	B.H.CHEN14-8	1203	\$360.00	\$57,532.36
04/04 133 10245666	DOERR 57	1504	\$300.00	\$57,232.36
04/04 134 10245666	DOERR 57	1501	\$1,400.00	\$55,832.36
04/04 135 09803301	SINSKY 3-1	1501	\$1,400.00	\$54,432.36
04/04 136 09865065	5-5-1-1	1504	\$300.00	\$54,132.36
04/04 137 09865065	5- 5 -1-1	1501	\$1,400.00	\$52,732.36
04/04 138 09501902	11-20-1-2-2	1501	\$1,400.00	\$51,332.36
04/04 139 09845486	11-4-1	1504	\$300,00	\$51,032.36
04/04 140 09845488	11-4-1	1501	\$1,400.00	\$49,632.36
04/04 141 09603941	BOA-16-25-12	1501	\$1,400.00	\$48,232.36
04/04 142 09561833	NELSON 1	1501	\$1,400.00	\$46,832.36
04/04 143 09746977	KRISHNAKUMAR 14-15	1504	\$300.00	\$46,532.36
04/04 144 09746977	KRISHNAKUMAR 14-15	1501	\$1,400.00	\$45,132.36
04/04 23B 11095347	16-12-11	1011	\$300.00	\$44,832.36
04/04 239 11095347	' 16-12-11	1111	\$500.00	\$44,332.36
04/04 240 11095347	' 16-12-11	1311	\$200.00	\$44,132.36
04/04 318 11095446	121-33	1011	\$300.00	\$43,832.36

04/04 319	0 44005446	494 99			
04/04 32		121-33	1111	\$500.00	\$43,332.35
04/04 38:		121-33	1202	\$350.00	\$42,98 <u>2.36</u>
04/05 1		121-33	1201	\$200.00	\$42,782.36
04/05 2	11096022 11096022	100	1011	\$300.00	\$42,482.36
04/05 2	11096022	100 100	1111	\$500.00	\$41,982.36
04/05 4	11096022		1311	\$200.00	\$41,782.36
04/05 5	11096023	4	1011	\$300.00	\$41,482.36
04/05 6	11098023	4	1111	\$500.00	\$40,982.36
04/05 7	11096023	4	1311	\$200.00	\$40,782.36
04/05 8	11096023	•	1201	\$1,600.00	\$39,182.36
04/06 5	10716084	4	1202	\$1,000.00	\$38,182.36
04/06 146		KROUPENKINE 17-7-3	1252	\$450.00	\$37,732.36
04/08 296		23-117-33-20-19	8021	\$40.00	\$37,692.36
04/06 297		13-15-101	1011	\$300.00	\$37,392.36
04/06 298		13-15-101	1111	\$500.00	\$36,892.36
04/07 4		13-15-101	1311	\$200.00	\$36,692.36
04/07 94	11089640	C.R.DOERR 97	8021	\$40.00	\$36,652.36
04/08 79	11091877 PAYMENT		8021	\$40.00	\$36,612.36
04/08 129		40.00	9204		\$48,567.36
04/08 131	11092117	40-20	1051	\$130.00	\$48,437.36
04/08 148		FREUND 2	8021	\$40.00	\$48,397.36
04/11 1	11093378	ALCOVATIV DOCAL	8021	\$40.00	\$48,357.36
04/11 2	10185249	AKSYUK 29511	1252	\$450.00	\$47,907.36
04/11 3	09825623 09748427	50001-10370	1201	\$200.00	\$47,707.36
04/11 3		09748427	1252	\$450.00	\$47,257.36
04/12 105	11096022 11061835	100	8021	\$40.00	\$47,217.36
04/12 103	11093512	12-11	1051	\$130,00	\$47,087.36
04/12 101	09572204	17-9 6-1-2	8021	\$40.00	\$47,047.36
04/12 172	10136108		1501	\$1,400.00	\$45,647.36
	09431998	LUTZ 2 00100-4	1501	\$1,400.00	\$44,247.36
04/12 173		BAL*7/LUC-11	1501	\$1,400.00	\$42,847.36
04/12 175	10685673	6-41-18-6-2	1501	\$1,400.00	\$41,447.36
04/12 176	10685673	6-6 6-6	1501	\$1,400.00	\$40,047.36
04/12 177		• •	1504	\$300.00	\$39,747.36
04/12 178	-	L0012/7001 09564096	1501	\$1,400.00	\$38,347.36
04/12 179			1501	\$1,400.00	\$36,947.36
04/12 379	11061835	HOUCK 3-1	1501	\$1,400.00	\$35,547.36
04/12 401	11068349	12-11 40-20	8021	\$40.00	\$35,507.36
04/13 1	10842857	LOZANO 7-5-19	8021	\$40.00	\$35,467.36
04/13 322		FOZWIAO 1-2-18	2252	\$450.00	\$ 35,017.36
04/14 2	09809526	HADZIC-1	8021	\$40.00	\$34,977.36
04/14 3	PAYMENT	I INCLU-1	1253 9203	\$1,020.00	\$33,957.36 \$50,040,00
04/14 4	PAYMENT		9203 9203	-\$18,655.00 \$250.00	
04/14 27	09867975	HAGIRAHIM 9-	1253		\$52,862.36 \$54.843.36
04/14 77	10842707	10842707	8007		\$51,842.36 \$54,762.36
04/14 78	10838594	10838594	8007		\$51,762.36 \$51,762.36
04/14 80	10954033	10954033			\$51,722.36
	1000		8007	\$20.00	\$51,702.36

				10844803	10844803	8007	\$80.00	\$51,622.36	
				10856237	10856237	8007	\$80.00	\$51,542.36	
				10851493	10851493	8007	\$40.00	\$51,502.36	
				10849487	10849487	8007	\$40.00	\$51,462.36	
				10842132	10842132	8007	\$20.00	\$51,442.36	
				10856729	10856729	8007	\$60.00	\$51,382.36	
				10842713	10842713	8007	\$80.00	\$51,302.36	
				10842701	10842701	8007	\$80.00	\$51,222.38	
				10840756	10840758	8007	\$80.00	\$51,142.36	
				10839177	10839177	8007	\$80.00	\$51,062.36	
				10842708	10842708	8007	\$40.00	\$51,022.36	
۸i.	7 N 1	04/14	315	10856979	10856979	8007	\$80.00	\$50,942.36	111
KIR_	netura	04/15	4	09990965	LIU 4-4-8	1201	\$600.00	\$50,342.36	**
3/2	Ketund	04/15	5	10119516	3-14-1 Bogner	1201	\$800.00	\$49,542.36	
		04/15	55	09936104	CAO 14-2-2	1251	\$120.00	\$49,422.36	
		04/15	71	09672512	09672512	1401	\$500.00	\$48,922.36	
		04/15	72	09672512	5-11	1252	\$450.00	\$48,472.36	
		04/15	90	60662835		8021	\$40.00	\$48,432.36	
		04/15	233	0154570959	BENNO 5-2-1-1-3-1-2-CHINA	8014	\$25.00	\$48,407.36	
		04/19	4	09752637	09752637	1252	\$450.00	\$47,957.36	
		04/19		09823042	7-42-7-29	1251	\$120.00	\$47,837.36	
				09764510	09764510	1801	\$790.00	\$47,047.36	
		04/19	124	09764510	09764510	1252	\$450.00	\$46,597.36	
				11047011	2-4-22-16	1051	\$130.00	\$46,467.36	
				11073783	VAN DER GAAST 1	1051	\$130.00	\$46,337.36	
				11107010	12-14-9	1011	\$300.00	\$46,037.36	
				11107010	12-14-9	1111	\$500.00	\$45,537.36	
				11107010	12-14-9	1311	\$200.00	\$45,337.36	
•				11107010	12-14-9	1202	\$150.00	\$45,187.36	
		04/20		10144473	12-1	1252	\$450.00	\$44,737.36	
		04/20		10345168	29250-000825	1806	\$180.00	\$44,557.36	
		04/20		11073783	VAN DER GAAST 1	8021	\$40.00	\$44,517.36	
		04/20		11047011	2-4-22-16	8021	\$40.00	\$44,477.36	
		04/21		PAYMENT		9203	-\$17,678.00	\$62,155.36	
		04/21		10002247	BHATOOLAUL15	1801	\$790.00	\$61,365.36	
				09795631	4-11	1501	\$1,400.00	\$59,965.36	
				09795631	4-11 ·	1504	\$300.00	\$59,665.36	
				09477910		1501	\$1,400.00	\$58,265.36	
				09518678		1501	\$1,400.00	\$56,865.36	
				09849852		1501	\$1,400.00	\$55,465.36	
				09849852		1504	\$300.00	\$55,165.36	
				09634356		1501	\$1,400.00	\$53,765.36	
				10756522		1501		\$52,365.36	
				10756522		1504		\$52,065.36	
				10391330		1501	-\$1,370.00		
				10391330		1501		\$52,035.36	
				10295792	_	1501		\$50,635.36	
		U4121 /	202	10295792	BERNASCONI 2	1504	\$300.00	\$50,335.36	

https://ramps.uspto.gov/eram/Controller;jsessionid=ramps-10300-1863f:428c8621:351d45ed2096b072

5/19/2005

04/22		10082870		1801	\$790.00	\$49,545.36
04/22		11110348	5-12-15	1011	\$300.00	\$49,245.36
04/22		11110348	5-12-15	1111	\$500.00	\$48,745.36
04/22		11110348	5-12-15	1311	\$200.00	\$48,545.36
04/22			5-12-15	1201	\$400.00	\$48,145.36
04/25		09726962	14-1	1402	\$500.00	\$47,645.36
04/25		11107010	12-14-9	8021	\$40.00	\$47,605.36
04/25		015815035	2 DOMINIQUE-7-3-3-2-CHINA	8014	\$25.00	\$47,580.36
04/25	141	11077308	1 .	1051	\$130.00	\$47,450.36
04/25	246	11077308		B021	\$40.00	\$47,410.36
04/25	678	0157980989	9 HUO 10-30 - CHINA	8014	\$25.00	\$47,385.36
04/26	1	10172672	1-24	1252	\$450.00	\$46,935.36
04/26	1	09938453	17-8-15-15	1251	\$120.00	\$46,815.36
04/26	17	10270629	10270629	1806	\$180.00	\$46,635.36
04/26	41	11112700	17 -6 -15	1011	\$300.00	\$46,335.36
04/26	42	11112700	17-6-15	1111	\$500.00	\$45,835.36
04/26	43	11112700	17-8-15	1311	\$200.00	\$45,635.36
04/26	44	11112700	17-6-15	1202	\$100.00	\$45,535.36
04/26	46	10816569	10/816569	1252	\$450.00	\$45,085.36
04/26	131	10209629	TURNER 1	1504	\$300.00	\$44,785.36
04/26	132	10209629	TURNER 1	1501	\$1,400.00	\$43,385.36
04/26		09616408	HORN 10-2-3	1501	\$1,400.00	\$41,985.36
04/26		09520861	42-49-3-13	1501	\$1,400.00	=
04/26		10448511	DAOUD 305-107 (LCNT/1244		\$300.00	\$40,585.36
04/26		10448511	DAOUD 305-107 (LCNT/1244			\$40,285.38
04/26		09876772	KIM7(LUCENT)	1504	\$1,400.00	\$38,885.36
04/26		09876772	KIM7(LUCENT)	1504	\$300.00	\$38,585.36
04/26		09577085	LUC-288/CLARK 1-1-1-1	1501	\$1,400.00	\$37,185.36
04/26		09920422	T.BORN 2-1		\$1,400.00	\$35,785.36
04/26		09920422	T.BORN 2-1	1504	\$300.00	\$35,485.36
04/26		10261112		1501	\$1,400.00	\$34,085.36
04/26		10261112	100.2440	1504	\$300.00	\$33,785.36
04/26		60575350	100.2440	1501	\$1,400.00	\$32,385.36
04/27			60/575350	8007	\$60.00	\$32,325.36
04/28		10340705	29250-000824	1806	\$180.00	\$32,145.36
		10056127	B.H.CHEN14-8	1202	\$450.00	\$31,695.36
04/28	_	PAYMENT	DU14700	9204	-\$22,640.00	
04/28		09936101	BHATOOLAUL	1801	\$790.00	\$53,545.36
04/28		11095446	121-33	1311	\$200.00	\$53,345.36
04/28		11110348	5-12-15	8021	\$40.00	\$53,305.36
04/28		10770028	10/110028	8007	\$80.00	\$53,225.36
04/29		60666052	BACHL5-1-25-21-11	8021	\$40.00	\$53,185.36
04/29		09772832	PHN 17758	1401		\$52,685.36
04/29		09772832	PHN 17758	1251	\$120.00	\$52,565.36
04/29		11115636	LYONS 20-5	1011		\$52,265.36
04/29		11115636	LYONS 20-5	1111		\$51,765.36
04/29			LYONS 20-5	1311		\$51,565.36
04/29	293	0091600847	GODZIELLA	8014	\$25.00	\$51,540.36

Debost 100703 Total Statem Brandard Time] BYR:USPTO-EFXRE-623 " DHIS:2736400 CBD:3726405 TOTAL STATEMENT BY 100703 TOTAL S Page 5 of 5

> START SUM OF BALANCE CHARGES \$60,862.36 \$81,870.00

SUM OF **END** REPLENISH BALANCE \$72,548.00 \$51,540.36

Need Help? | USPTO Home Page | Finance Online Shopping Page

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

PATENT APPLICATION

Xiang Liu Xing Wei Chunhui Xu

CASE

Serial No. Q9/990965 Group Art Unit 2633

Filed

November 21, 2001

Examiner

David J. Lee

03330362

1051:33 to 04/12/2002 1EADDY <00000004 122255

Title

Long Haul Optical Communication System

COMMISSIONER FOR PATENTS P.O. BOX 1450 ALEXANDRIA, VA 22313-1450

SIR:

Enclosed is an amendment in the above-identified application.

NO ADDITIONAL PEE REQUIRED

tn the event of non-payment or improper payment of a required fee, the Commissioner is suthorized to charge or to credit Deposit Account No. 12-9325 as required to correct the error.

David A. Masso, Attorney

Reg. No. 43084 732-949-6559.

Decket Administrator (Room 3J-219) Lucent Technologies Inc. 101 Crawfords Corner Road Haimdel, NJ 07733-3030

PT 16 (10/01)

Page I of I

PAGE 28 " RCVD AT 392005 11:45:47 AM (Eastern Standard Time) " SVR.USPTO-EFXRF-411" DNS-2725008 " CSID:7329450102 " DURATION (min-ss):072-34

BEST AVAILABLE COPY

Adjustment date: 01/18/2006 SFELEKE1 04/15/2005 JEADDY 00000004 122325 09990965 01 FC:1201 600.00 BR

This Page is Inserted by IFW Indexing and Scanning Operations and is not part of the Official Record

BEST AVAILABLE IMAGES

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images include but are not limited to the items checked:

□ BLACK BORDERS
IMAGE CUT OFF AT TOP, BOTTOM OR SIDES
☐ FADED TEXT OR DRAWING
☐ BLURRED OR ILLEGIBLE TEXT OR DRAWING
☐ SKEWED/SLANTED IMAGES
☐ COLOR OR BLACK AND WHITE PHOTOGRAPHS
GRAY SCALE DOCUMENTS
LINES OR MARKS ON ORIGINAL DOCUMENT
☐ REFERENCE(S) OR EXHIBIT(S) SUBMITTED ARE POOR QUALITY
□ OTHER.

IMAGES ARE BEST AVAILABLE COPY.

As rescanning these documents will not correct the image problems checked, please do not report these problems to the IFW Image Problem Mailbox.